

Grafenia plc

Holding(s) in Company

RNS Number : 3281R

Grafenia plc

08 December 2016

TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARESⁱ	
1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached: ⁱⁱ	Grafenia Plc
2 Reason for the notification (please tick the appropriate box or boxes):	
An acquisition or disposal of voting rights	
An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached	
An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments	
An event changing the breakdown of voting rights	
Other (please specify):	Death of Shareholder
3. Full name of person(s) subject to the notification obligation: ⁱⁱⁱ	Malcom David Roach Director - Wincham Executor & Trustee Company Limited

4. Full name of shareholder(s) (if different from 3.): ^{iv}	Peter Gordon Deceased
5. Date of the transaction and date on which the threshold is crossed or reached: ^v	14 th October 2016
6. Date on which issuer notified:	5 th December 2016
7. Threshold(s) that is/are crossed or reached: ^{vi, vii}	3.4% of Grafenia Plc Shares

8. Notified details:							
A: Voting rights attached to shares ^{viii, ix}							
Class/type of shares if possible using the ISIN CODE	Situation previous to the triggering transaction		Resulting situation after the triggering transaction				
	Number of Shares	Number of Voting Rights	Number of shares	Number of voting rights		% of voting rights ^x	
			Direct	Direct ^{xi}	Indirect ^{xii}	Direct	Indirect

B: Qualifying Financial Instruments				
Resulting situation after the triggering transaction				
Type of financial instrument	Expiration date ^{xiii}	Exercise/ Conversion Period ^{xiv}	Number of voting rights that may be acquired if the instrument is	% of voting rights

			exercised/ converted.	

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments ^{xv, xvi}						
Resulting situation after the triggering transaction						
Type of financial instrument	Exercise price	Expiration date ^{xvii}	Exercise/ Conversion period ^{xviii}	Number of voting rights instrument refers to	% of voting rights ^{xix, xx}	
					Nominal	Delta

Total (A+B+C)	
Number of voting rights	Percentage of voting rights

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable: ^{xxi}

Proxy Voting:

10. Name of the proxy holder:

11. Number of voting rights proxy holder will cease to hold:	
12. Date on which proxy holder will cease to hold voting rights:	

13. Additional information:	
14. Contact name:	Malcom David Roach
15. Contact telephone number:	01260 299700

Note: Annex should only be submitted to the FCA not the issuer

Annex: Notification of major interests in share

A: Identity of the persons or legal entity subject to the notification obligation	
Full name (including legal form of legal entities)	Wincham Executor & Trustee Co Limited
Contact address (registered office for legal entities)	Winch House
Phone number & email	01260 299700
Other useful information (at least legal representative for legal persons)	Malcom David Roach Executor

B: Identity of the notifier, if applicable	
Full name	Brewin Dolphin
Contact address	12 Smithfield Street, London EC1A 9BD
Phone number & email	0207 248 4400
Other useful information (e.g. functional relationship with the person or legal entity subject to the notification obligation)	Investment Broker

C: Additional information

This information is provided by RNS
The company news service from the London Stock Exchange

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