

irafenia plc

Holding(s) in Company

RNS Number: 6848G

Grafenia plc 05 March 2018

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer <u>and</u> to the FCA in Microsoft Word format if possible)ⁱ

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached: Grafenia PLC LEI: 213800OKTI2518K9		2		
1b. Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate)				
Non-UK issuer				
2. Reason for the notification (please mark the a	appropriate box or boxes with an "X")			
An acquisition or disposal of voting rights				
An acquisition or disposal of financial instruments				
An event changing the breakdown of voting rights	An event changing the breakdown of voting rights			
Other (please specify) ⁱⁱⁱ :				
3. Details of person subject to the notification	obligation ^{iv}			
Name	Stefan Winterling			
City and country of registered office (if applicable) München, Germany				
4. Full name of shareholder(s) (if different from 3	3.) ^v			
Name	Isar Holding GmbH			
City and country of registered office (if applicable)	München, Germany			

5. Date on which the threshold was crossed or reached ^{vi} :		20/02/2018			
6. Date on which issuer notified (DD/MM /YYYY):			05/03/	/2018	
7. Total positions of	f person(s) subject to	the no	otificati	on obligation	
	% of voting rights attached to shares (total of 8. A)	shares financial		Total of both in % (8.A + 8.B)	Total number of voting rights of issuer ^{vii}
Resulting situation on the date on which threshold was crossed or reached	8.4819%	Nil		8.4819%	47,557,835
Position of previous notification (if applicable)	7.4326%	N	lil	7.4326%	

8. Notified details of the resulting situation on the date on which the threshold was
crossed or reached ^{viii}
A: Voting rights attached to shares
Classifying of

Class/type of	Number of voting rights ^{ix}		% of voting rights	
shares ISIN code (if possible)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
Ordinary shares of 1 penny ISIN: GB0009638130	1,370,000	2,835,000	2.8807%	5.9612%
SUBTOTAL 8. A	4,205,000		8.4819%	

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))				
Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights

	SUBTOTAL 8. B	

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))						
Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Physical or cash settlement ^{xii}	Number of voting rights	% of voting rights	
			SUBTOTAL 8.B.2			

9. Information in relation to the person subject to the notification obligation (please mark the					
applicable box with an "X")					
Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in					
the (underlying) issuer ^{Xiii}	takings through which the voti	na rights and/or the			
		timate controlling natural perso	n or	x	
legal entityXiV (please add ad	Iditional rows as necessary)				
Name ^{xv} Name ^x					
Stefan Winterling	2.8807%		2.88	307%	
Isar Holding GmbH 5.9612% 5.96				612%	

10. In case of proxy voting, please identify:		
Name of the proxy holder		
The number and % of voting rights held		

The date until which the voting rights will be held						
<u> </u>						
11. Additional informa	11. Additional information ^{xvi}					
Place of completion	München, Germany					
Date of completion	20/02/2018					
Annex: Notification of	major holdings (to be	e filed w	ith the FCA <u>only</u>)			
A: Identity of the person	on subject to the not	ification	obligation			
Full name (including legal	form for legal entities)	Stefan	Winterling			
Contact address (registered office for legal entities)		Ohmstr	asse 8, 80802 München, Germany			
E-Mail		sw@isarholding.com				
Phone number / Fax number						
Other useful information (at least legal representative for legal persons)						
B: Identity of the notifi	er, if applicable					
Full name						
Contact address						
E-Mail						
Phone number / Fax numb	per					
Other useful information (expension of the relationship with the person subject to the notification of	n or legal entity					

C: Additional information

This information is provided by RNS
The company news service from the London Stock Exchange

END

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